

# Towards a Low-Cost, Non-Invasive System for Occupancy Detection using a Thermal Detector Array

Ash Tyndall

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**Supervisors:**

Rachel Cardell-Oliver  
Adrian Keating

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**Program:**

Bachelor of Computer  
Science (Honours)

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**Program Dates:**

Semester 2, 2014 –  
Semester 1, 2015

# Introduction

- Aging population [ABS2012, CCE09]
  - Need to lower human burden
- Rising energy prices [Swo15]
  - Affects both businesses and the elderly
- Internet of Things
  - Cheaper embedded systems
  - Better sensors
  - Occupancy detection

- Detecting people
- Good for home/office automation
- Occupancy detection can save up to 25% on these costs [BEC13]
- Climate control accounts for
  - up to 40% of household energy usage [ABS11]
  - 43% of office building usage [CAG12]

# An ideal system would be...

- Low-Cost
  - Prototype stage < \$300
- Non-Invasive
  - Minimal information gathered by system
- Reliable
  - >75% occupancy detection accuracy
- Energy Efficient
  - Prototype can last at least a week

**Can we create this  
system?**

# Necessary steps

1. Design Choices
2. Prototype Design
  - a) Hardware
  - b) Software
3. Criteria Evaluation
4. Did we meet our goals?

# Design Choices



# How do we evaluate sensors?

- We want to
  - See individual people
- We don't want to
  - Know who they are
  - Know what they're doing

- Cost is coming down fast
- Exciting new area for research
- Interesting applications
- “ThermoSense” [BEC13]
  - Can see human “blobs” in thermal data
  - Very low resolution (8x8 pixels)
  - 0.346 Root Mean Squared Error

# Research Gap

- Sensor space is changing fast
- Contribution of system elements
- Does their approach translate
- ThermoSense sensor not in Australia

# Prototype Design

# HW Architecture – Current

- Direct data collection

**Sensing**

- Raw data to processed data

**Pre-Processing**

- Processed data to insights

**Analysis**

# HW Architecture – Current



## Melexis MLX90620

- Collects thermal data
- Narrower FOV (16°x60° vs 60°x60°)
- Rectangular (16x4 vs 8x8)
- Communicates bi-directionally

**Sensing**

**Pre-Processing**

**Analysis**

# HW Architecture – Current



## Passive Infrared Sensor (PIR)

- Collections motion data
- Provides rising signal on motion

**Sensing**

**Pre-Processing**

**Analysis**

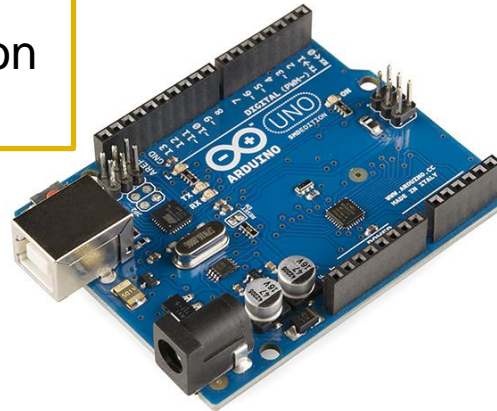
# HW Architecture – Current

## Arduino Uno R3

- Embedded controller with broad library support
- Converts raw sensing data into degrees Celsius / motion each frame



**Sensing**



**Pre-Processing**

**Analysis**

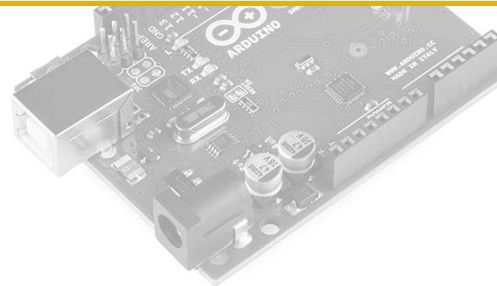


# HW Architecture – Current

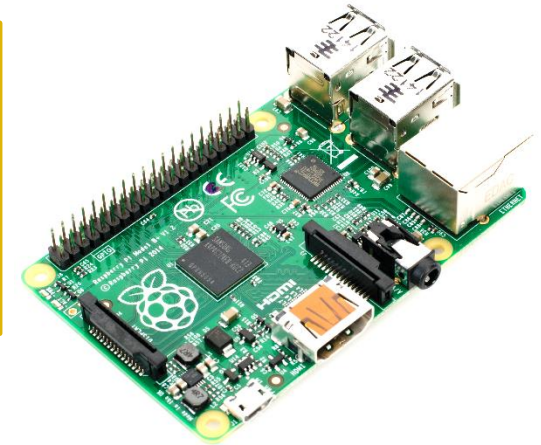


**Sensing**

- Raspberry Pi B+**
- Cheap and powerful Linux platform
  - Performs advanced analysis on processed data
  - Generates occupancy predictions

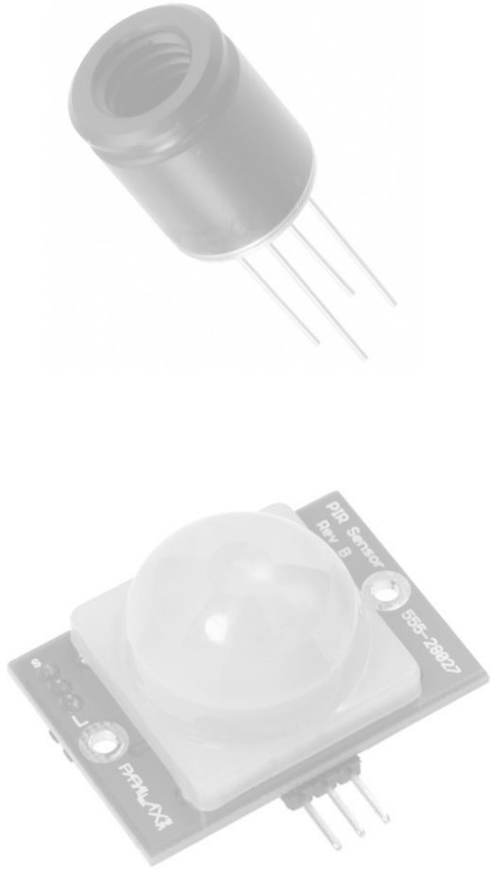


**Pre-Processing**

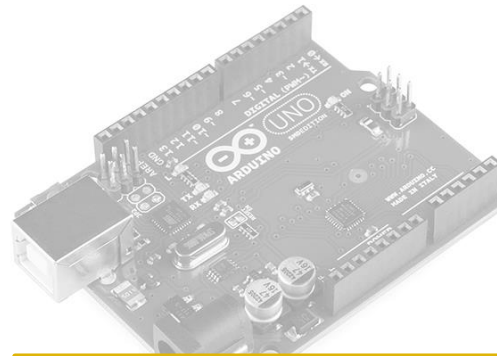


**Analysis**

# HW Architecture – Current

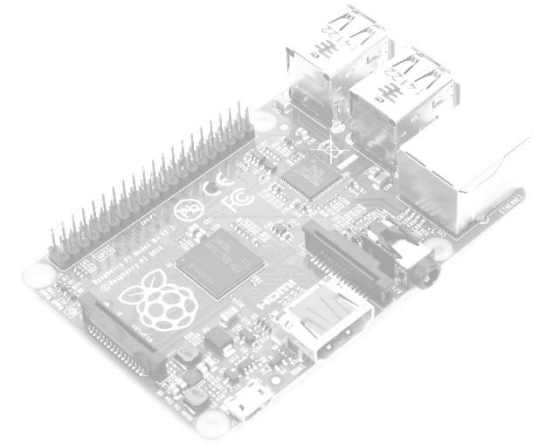


**Sensing**



- RPi Camera**
- 1080p resolution
  - Ground truth collection in prototype stage

**Pre-Processing**



**Analysis**

# HW Architecture – Current



MLX90620 (MLX)

Wired



Arduino Uno R3

Wired



Raspberry Pi B+



Passive Infrared  
Sensor (PIR)

Wired



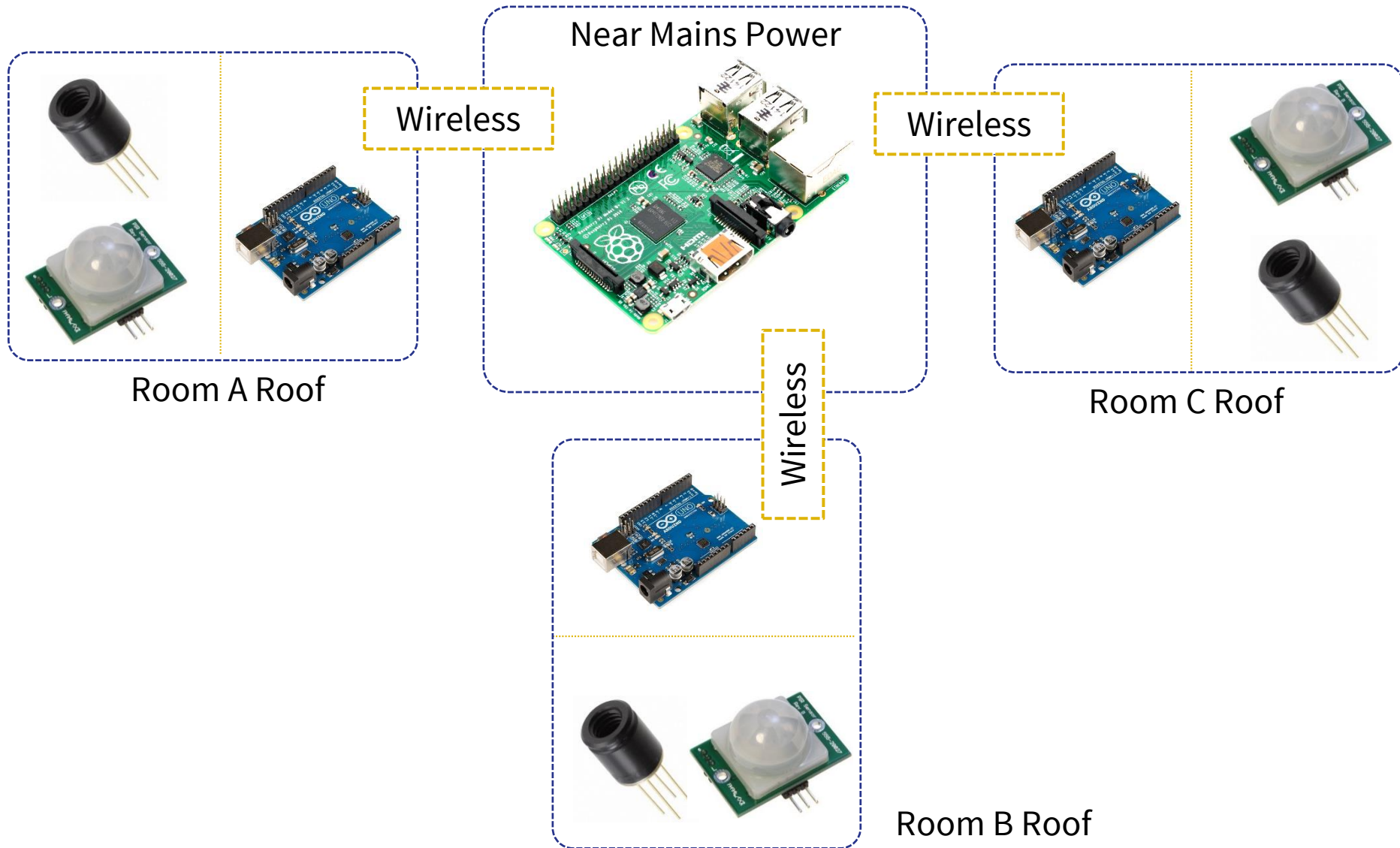
RPI Camera  
(ground truth)

**Sensing**

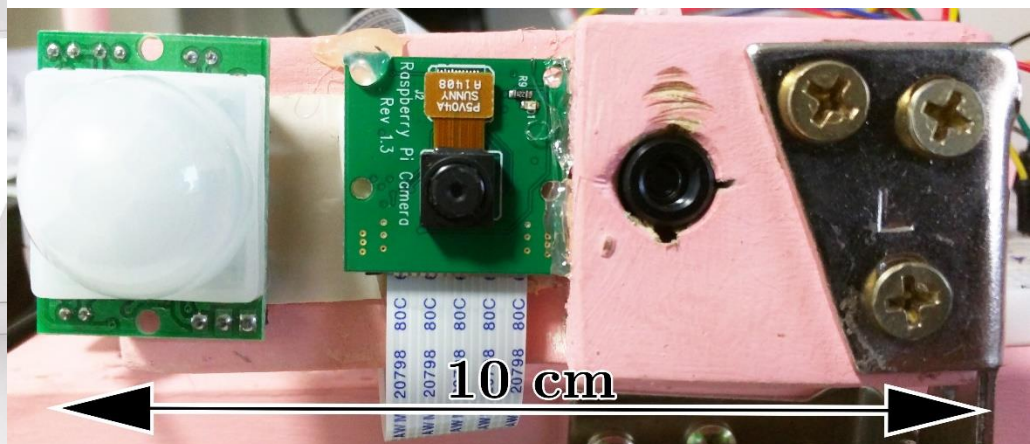
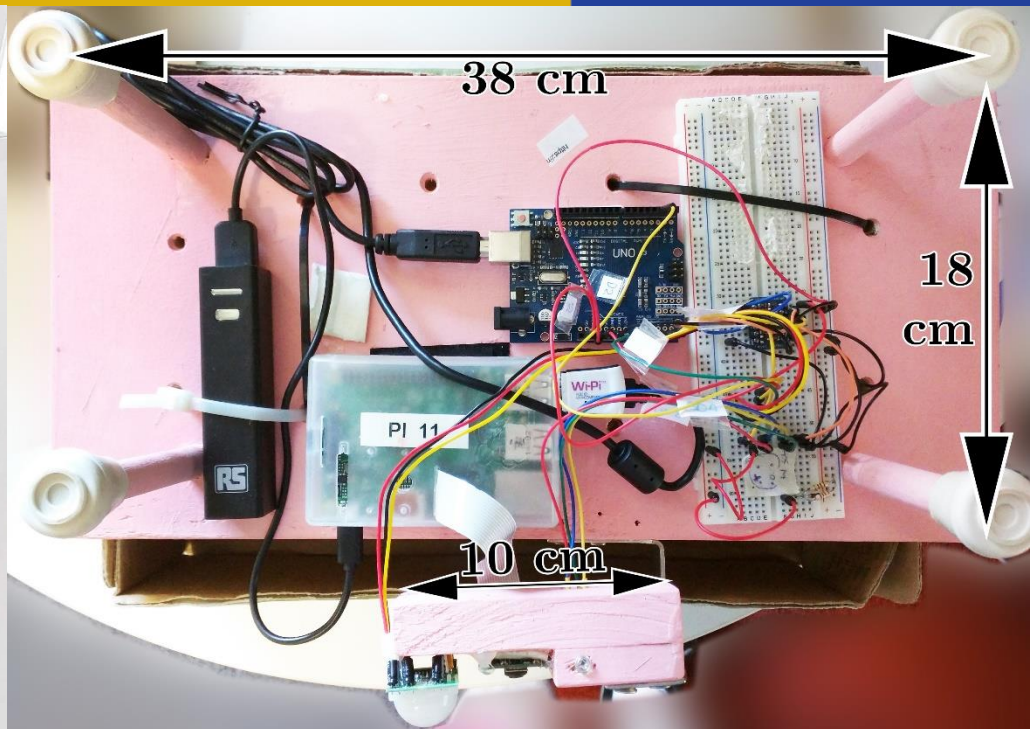
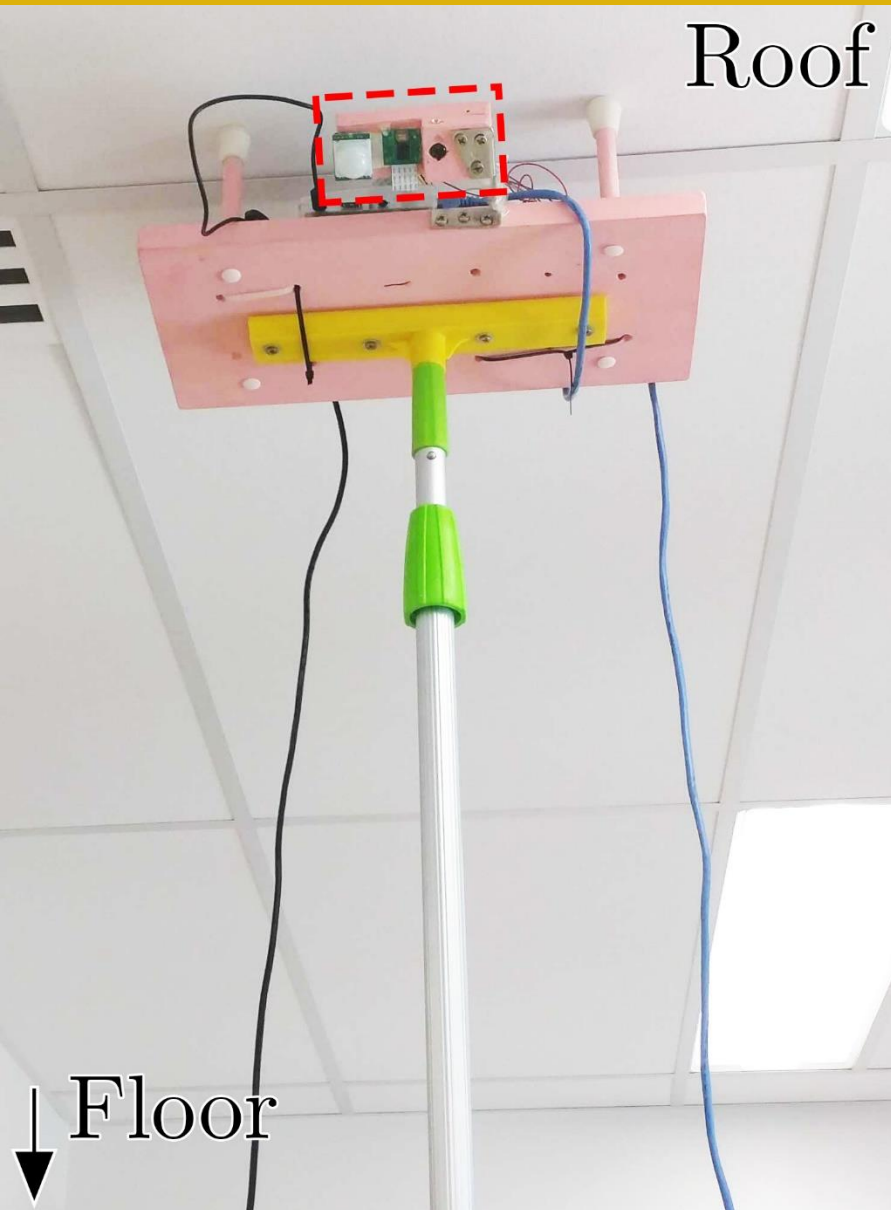
**Pre-Processing**

**Analysis**

# HW Architecture – Ideal M:1



# Physical Prototype



- 1,600 SLOC
  - Approx. 500 lines on Arduino (C++)
  - Remaining 1,000 on Raspberry Pi (Python)
- Code allows capture, visualization and analysis of thermal images

# Technique

- Overview

1. Motion detection

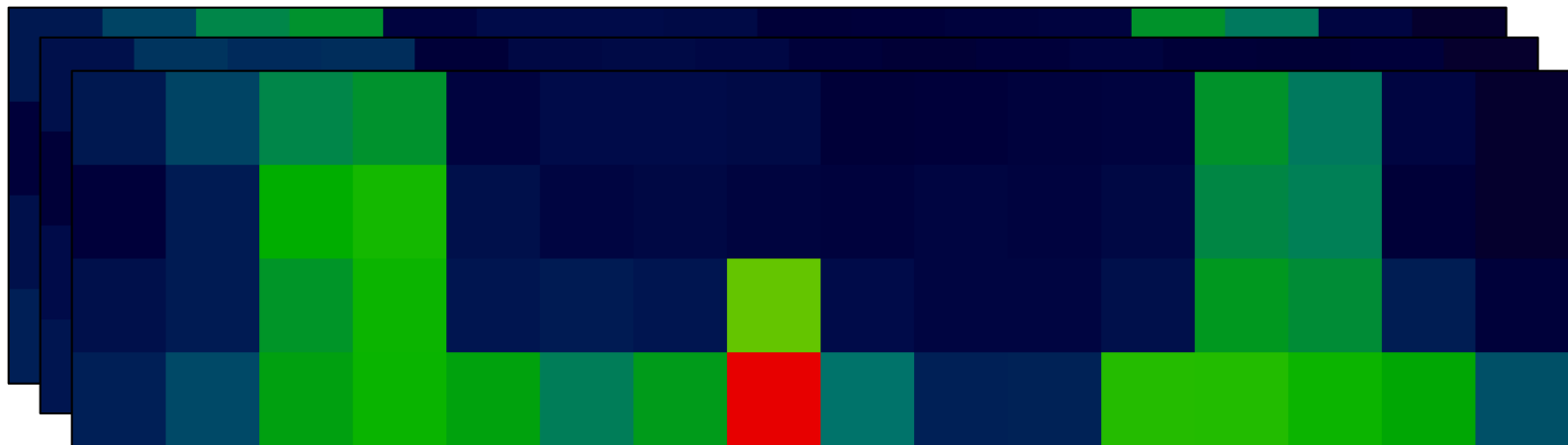
2. Image subtraction

3. Machine learning

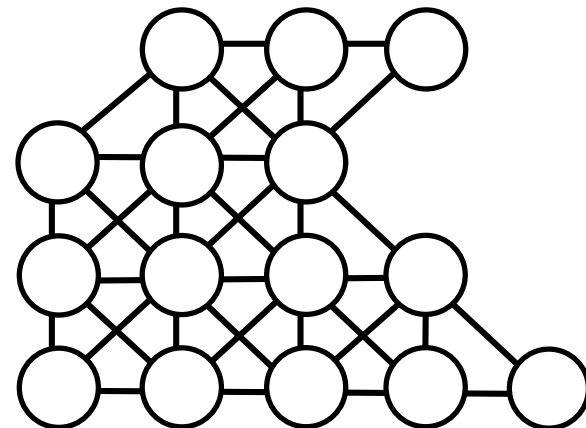
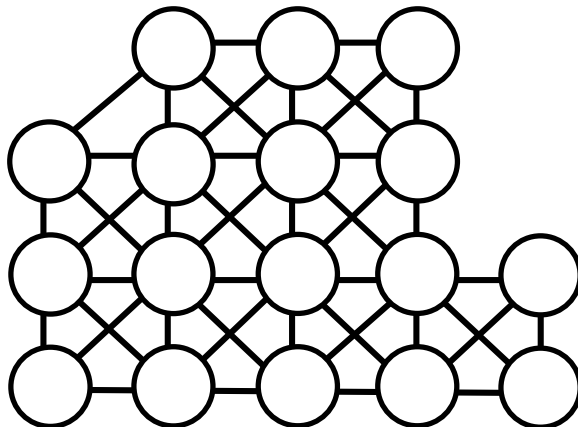
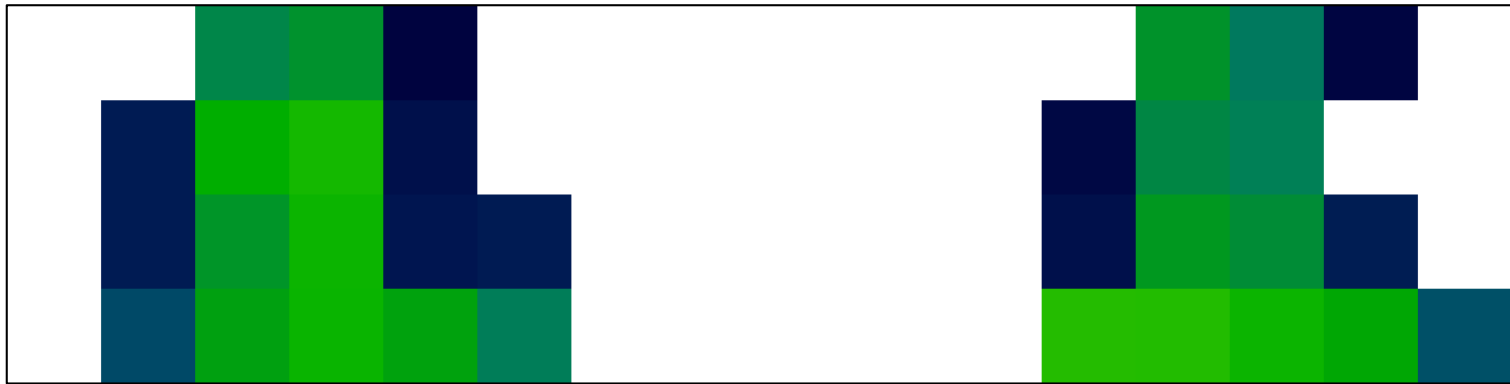
- Distilling good examples (feature extraction)
- Providing examples with correct answer (training)
- Get out a model that can predict attributes



## 1. Capture thermal image sequence

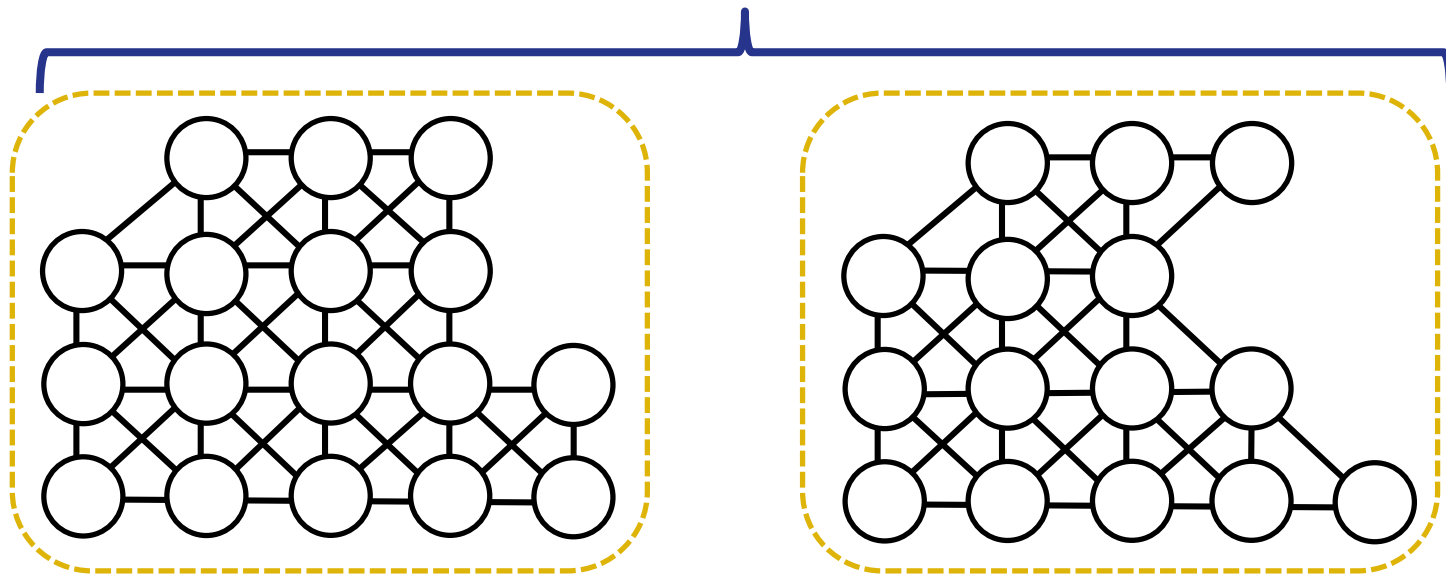


2. Generate graph from “active” pixels, which deviate significantly from mean



3. Extract features from graph for classification purposes

Number of connected components = 2

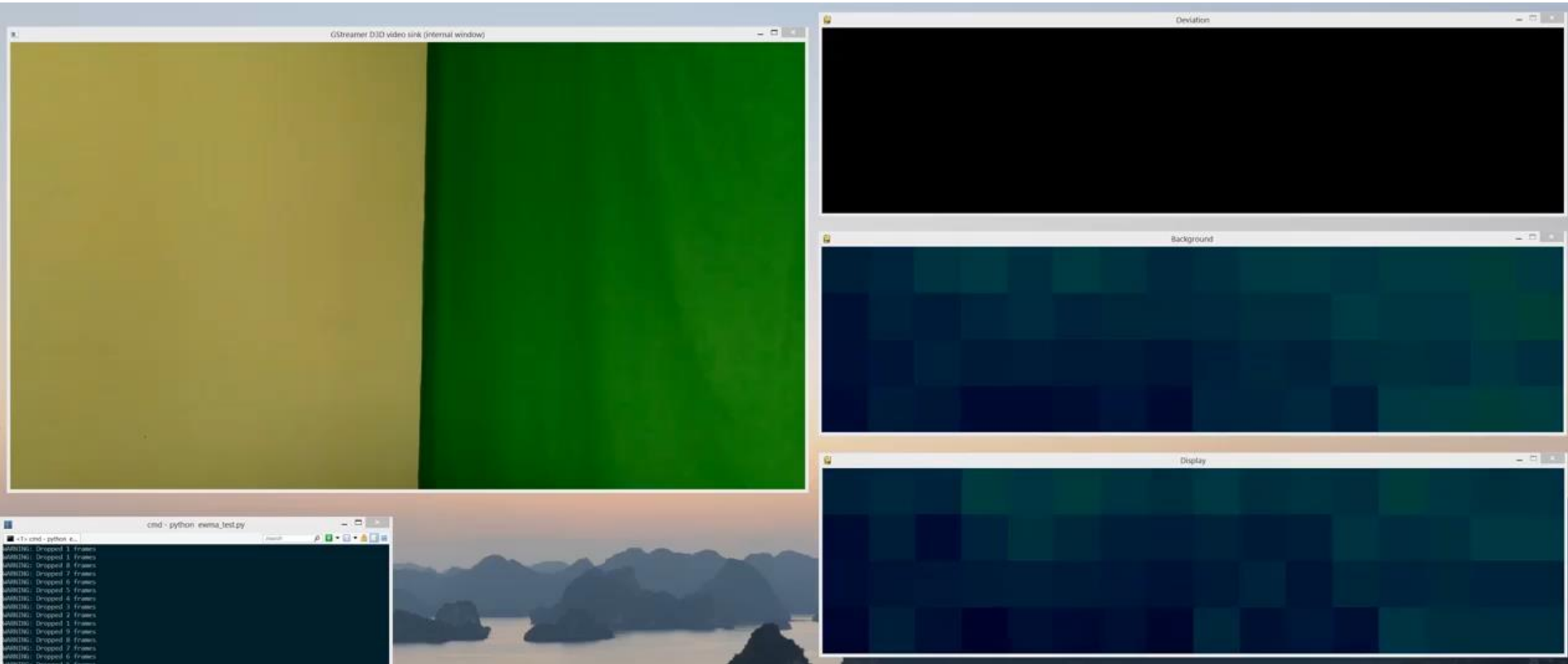


Size of largest  
connected component  
= 17

Number of total active pixels = 32

4. Perform machine learning
  1. Train on examples with true value (features and ground truth)
  2. Make predictions with your generated model

# Video Demonstration



# Evaluation

# Non-Invasiveness

- Fulfilled through sensor choice
- Low resolution masks person and action identification

# Cost

- Prototype < \$300 target
- On par with ThermoSense cost

Part	Cost
MLX90620	\$80
Raspberry Pi B+	\$50
Arduino Uno R3	\$40
Passive Infrared Sensor	\$10
I <sup>2</sup> C level shifter	\$5
<b>TOTAL</b>	<b>\$185</b>

(a) Our project

Part	Cost
TMote Sky	\$110
Grid-EYE	\$50
Passive Infrared Sensor	\$10
<b>TOTAL</b>	<b>\$170</b>

(b) ThermoSense (estimated)

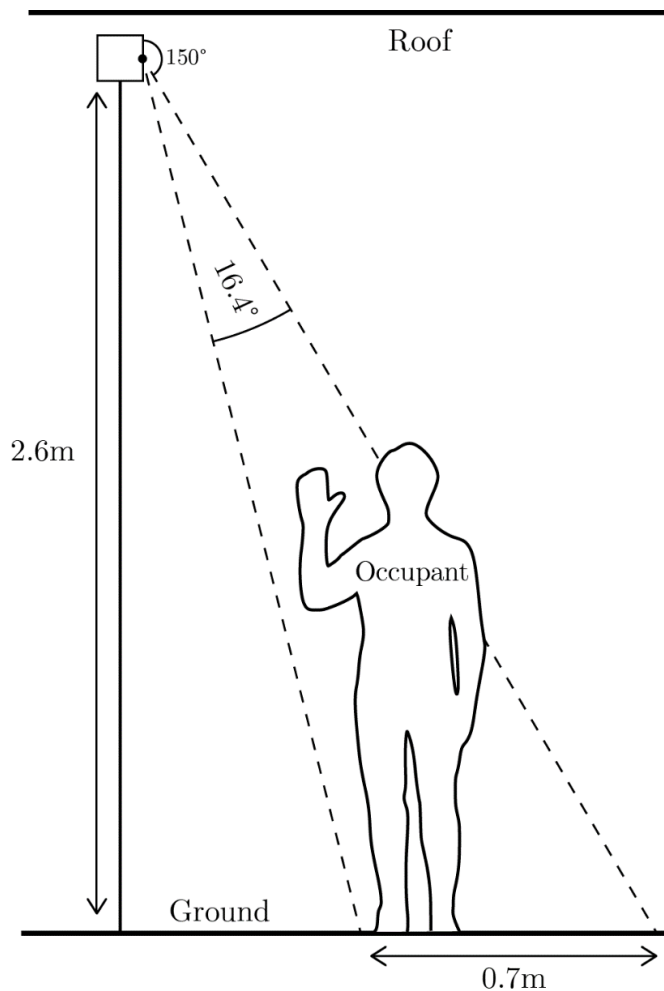
## Cost comparison



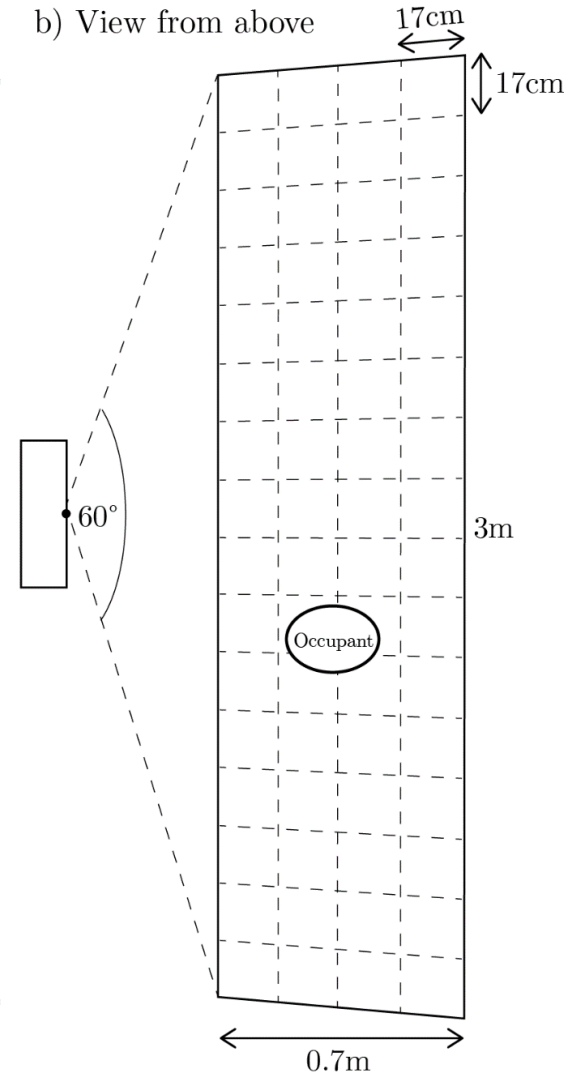
# Experimental Setup

- Testing reliability and energy efficiency

a) View from side

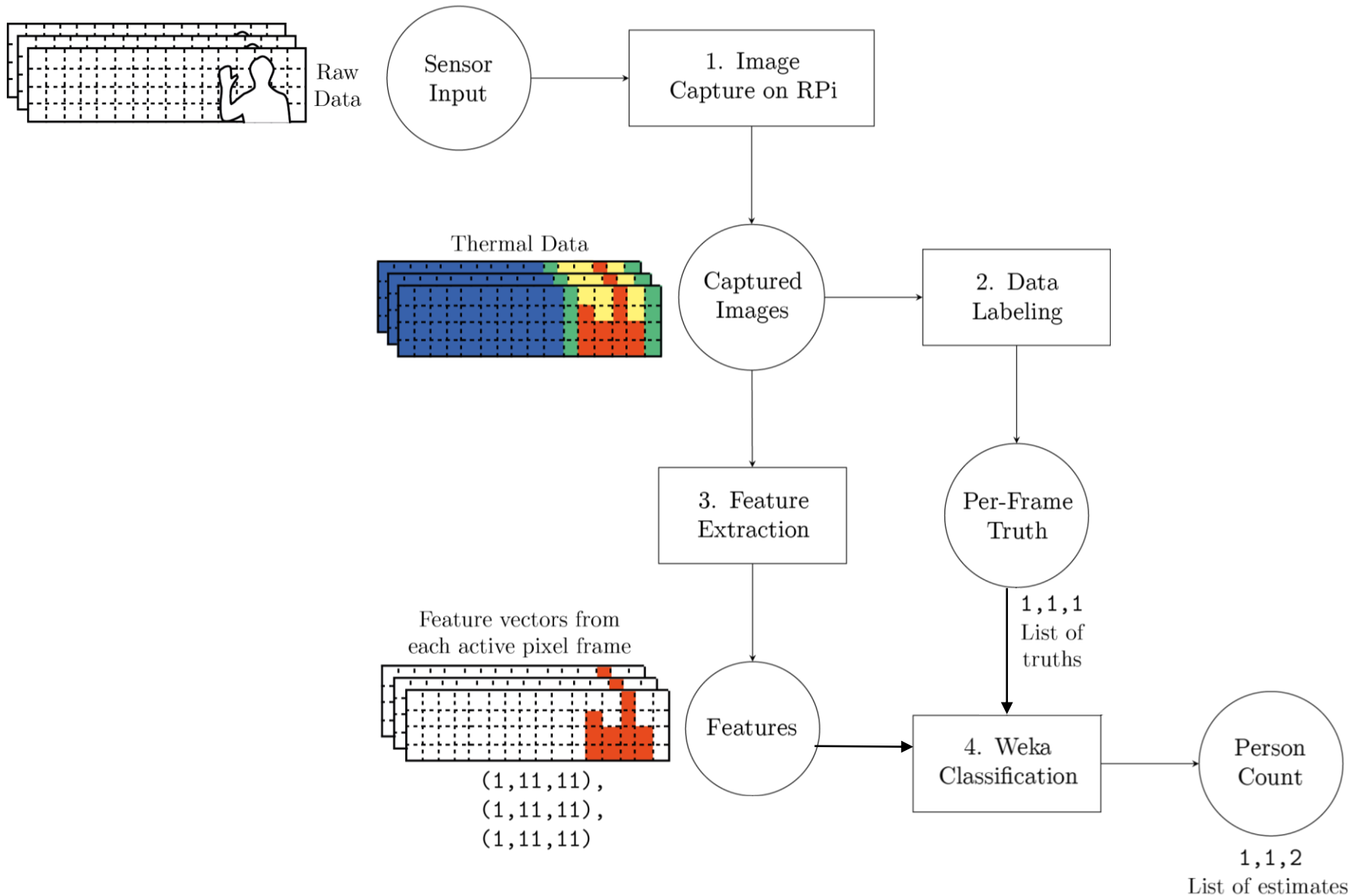


b) View from above



- Replicating ThermoSense's classification algorithms:
  - K Nearest Neighbours (numeric / nominal)
  - Linear Regression (numeric)
  - Multi-Layer Perceptron (numeric)
- Trying our own
  - Multi-Layer Perceptron (nominal)
  - $K^*$
  - C4.5
  - Support Vector Machine
  - Naïve Bayes
  - 0-R

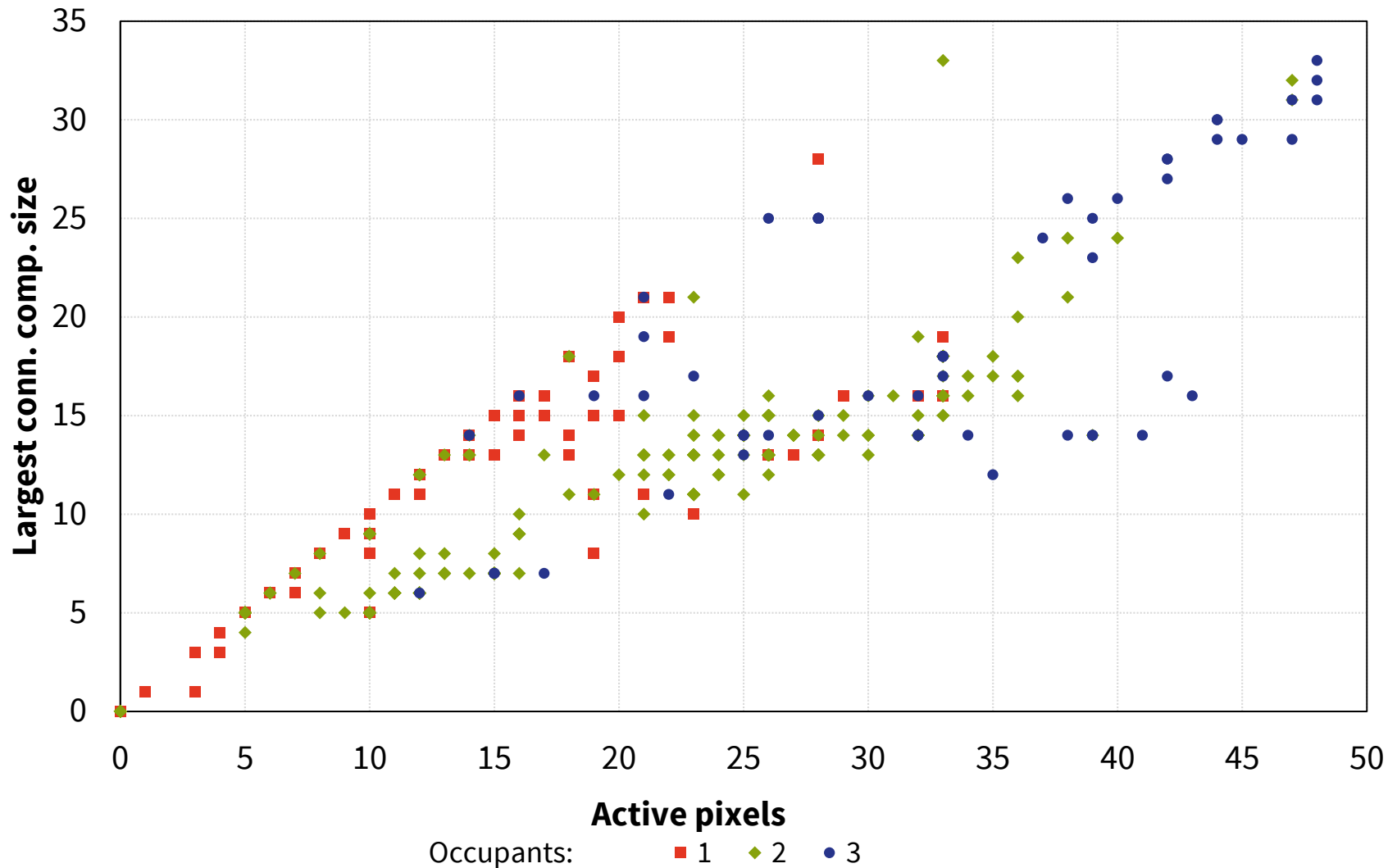
# Reliability – Processing Pipeline



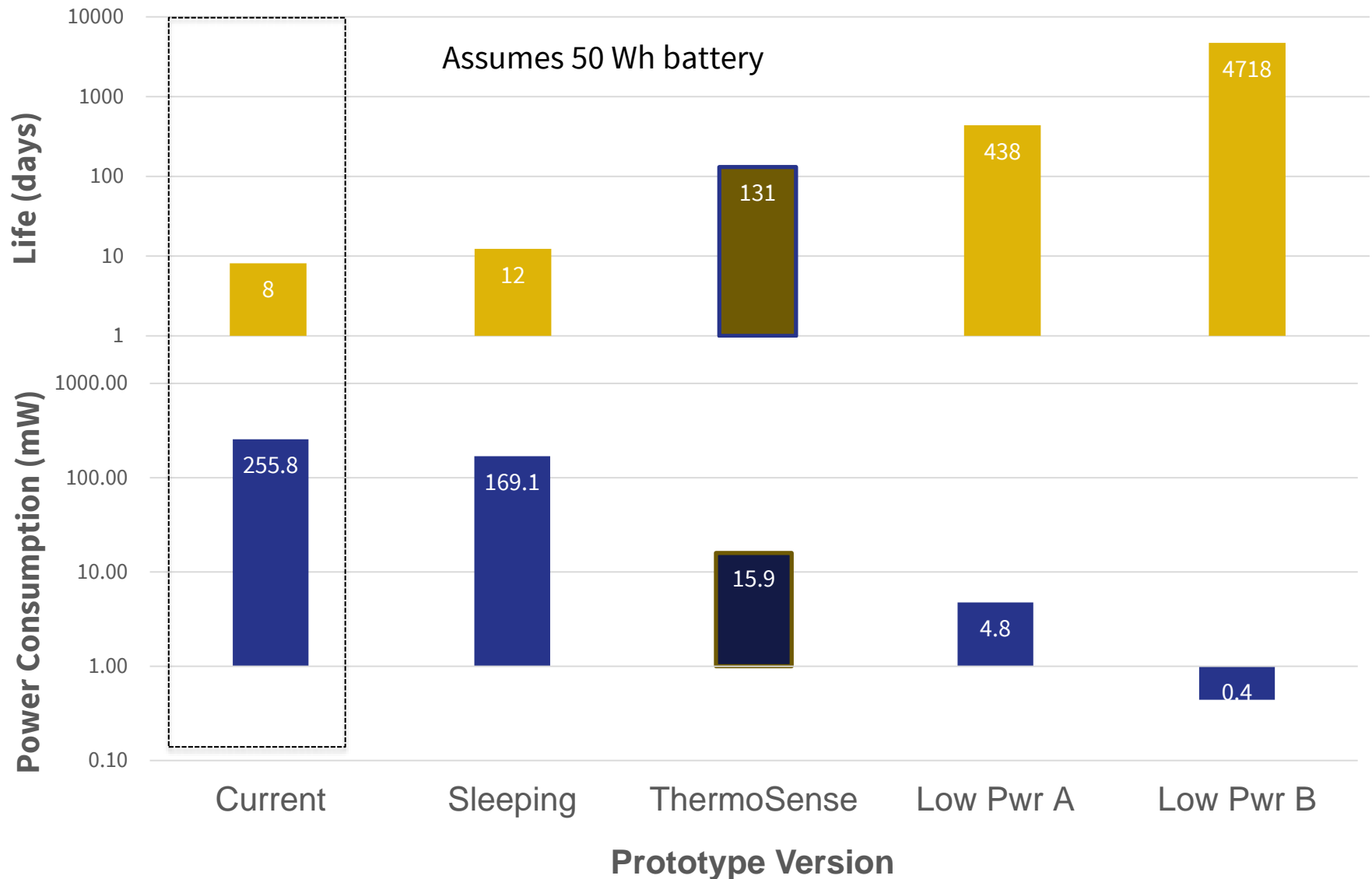
# Reliability – Summary

- Best results
  - $K^*$ , C4.5 (both ~82%)
  - MLP also passable (~77%)
- ThermoSense paper's choices not sufficiently reliable with our dataset
  - Why?
  - So many unknowns
- Why are  $K^*$  and C4.5 so much better?
  - Entropy?

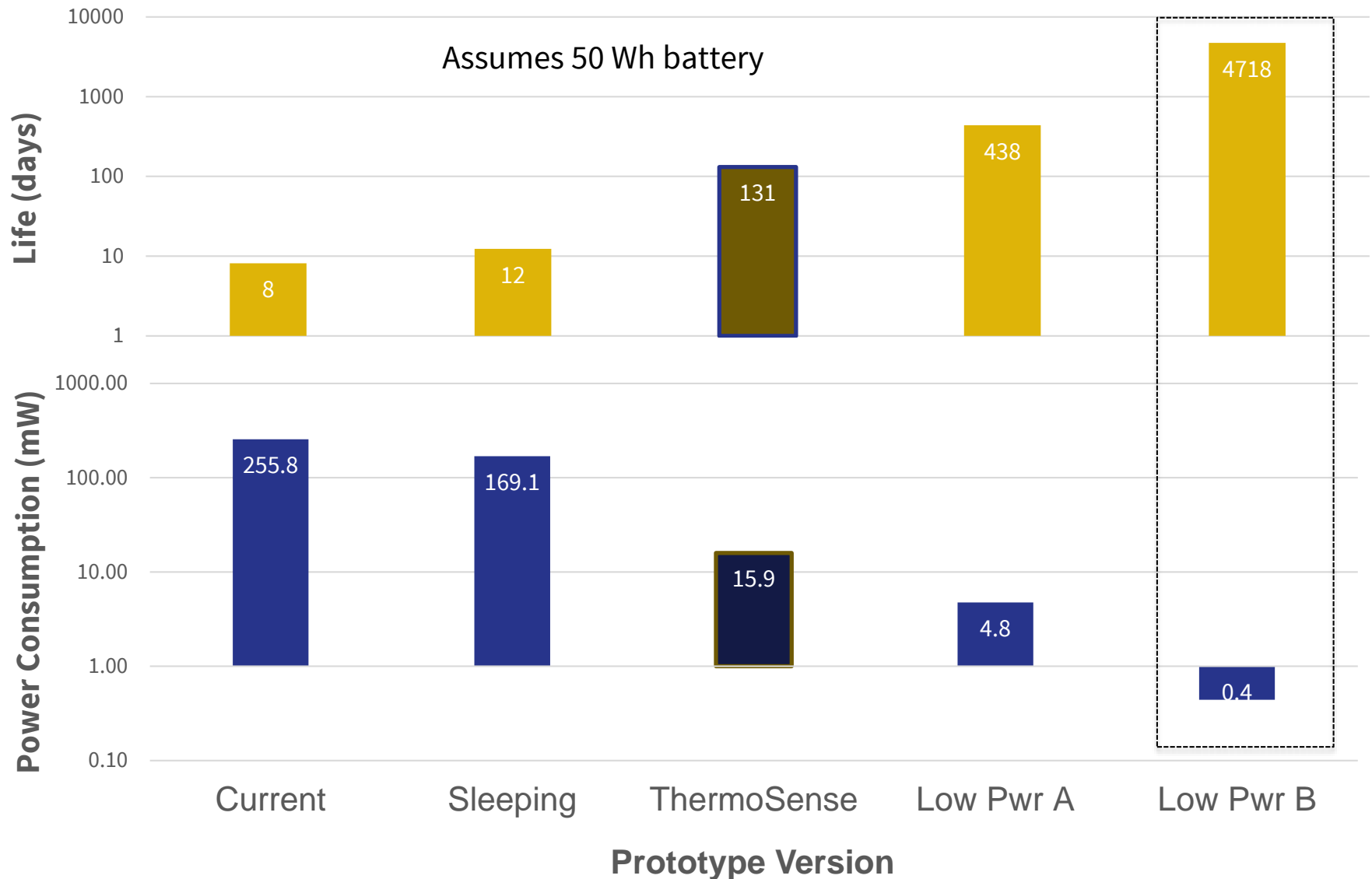
# Feature Plot – No Clear Cut



# Energy Efficiency (log scales)



# Energy Efficiency (log scales)



# Conclusions



# Conclusions

- Low Cost
  - \$185, and will only get cheaper
- Non-Invasive
  - Thermal sensing is a good technique
- Reliable
  - 82% classification accuracy
- Energy Efficient
  - Prototype: 8 days. Minor changes: years

# Recommended Future Work

- IoT integration
  - How would this talk to other systems?
- Field-of-View modifications
  - Undistorting captured images
- New Sensors
  - MLX90621 (wider FOV)
  - FliR Lepton (80x60 pixel)

# References & Questions?



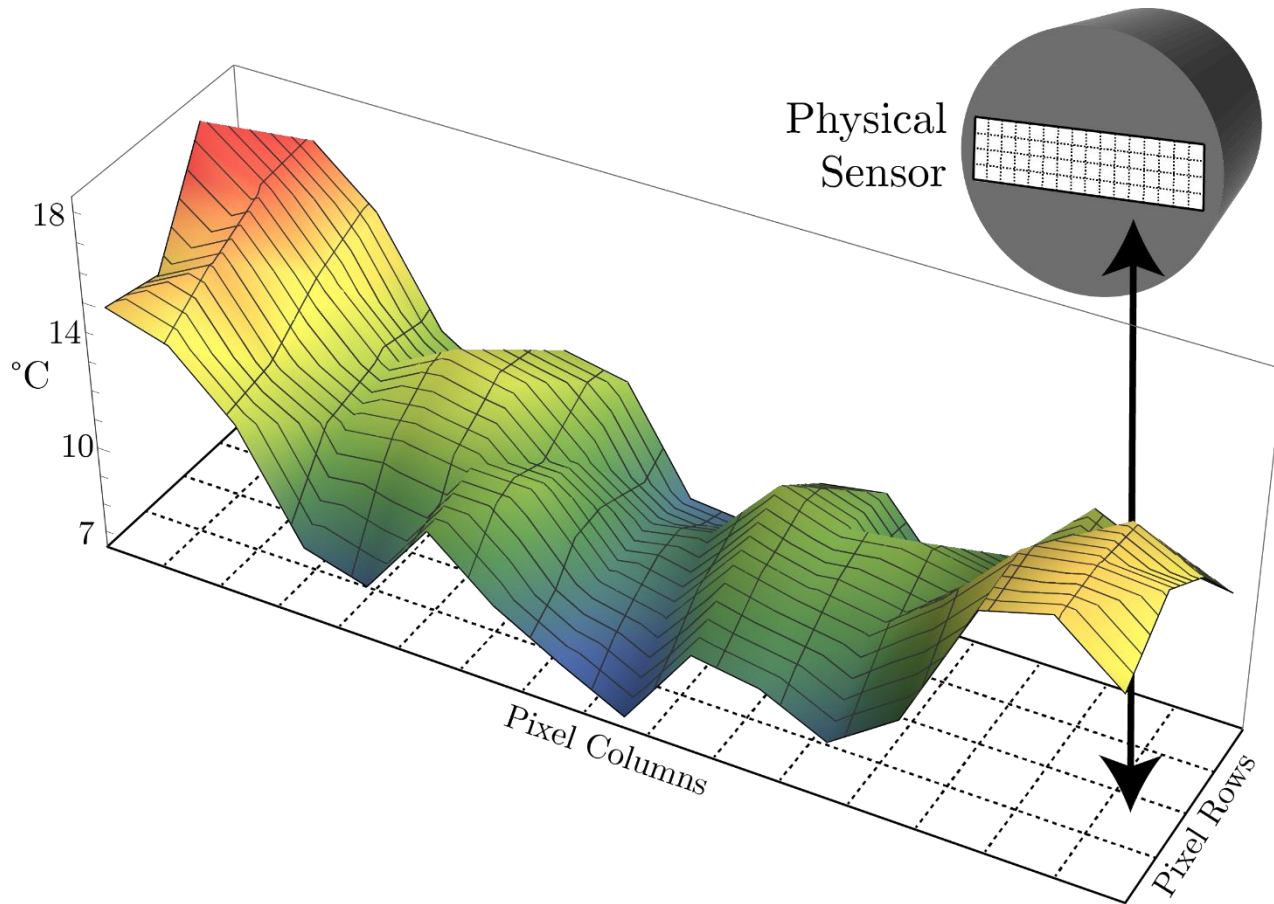
- [ABS12] Australian Bureau of Statistics. Disability, ageing and carers, Australia: Summary of findings: Carers - key findings. Tech. Rep. 4430.0, 2012. Retrieved April 10, 2015 from <http://abs.gov.au/ausstats/abs@.nsf/Lookup/D9BD84DBA2528FC9CA257C21000E4FC5>.
- [ABS11] Australian Bureau of Statistics. Household water and energy use, Victoria: Heating and cooling. Tech. Rep. 4602.2, 2011. Retrieved October 6, 2014 from <http://abs.gov.au/ausstats/abs@.nsf/0/85424ADCCF6E5AE9CA257A670013AF89>.
- [BEC13] Beltran, A., Erickson, V. L., and Cerpa, A. E. ThermoSense: Occupancy thermal based sensing for HVAC control. In *Proceedings of the 5th ACM Workshop on Embedded Systems For Energy-Efficient Buildings* (2013), ACM, pp. 1–8.
- [CCE09] Chan, M., Campo, E., Esteve, D., and Fourniols, J.-Y. Smart homes - current features and future perspectives. *Maturitas* 64, 2 (2009), 90–97.
- [CAG12] Council of Australian Governments. Baseline Energy Consumption and Greenhouse Gas Emissions: In Commercial Buildings in Australia: Part 1 – Report. 2012. Retrieved April 10, 2015 from <http://industry.gov.au/Energy/EnergyEfficiency/Non-residentialBuildings/Documents/CBBS-Part-1.pdf>.
- [Swo15] Swoboda, K. Energy prices—the story behind rising costs. In *Parliamentary Library Briefing Book - 44th Parliament*. Australian Parliament House Parliamentary Library, 2013. Retrieved February 3, 2015 from [http://aph.gov.au/About\\_Parliament/Parliamentary\\_Departments/Parliamentary\\_Library/pubs/BriefingBook44p/EnergyPrices](http://aph.gov.au/About_Parliament/Parliamentary_Departments/Parliamentary_Library/pubs/BriefingBook44p/EnergyPrices).

# Questions?

# Additional Content

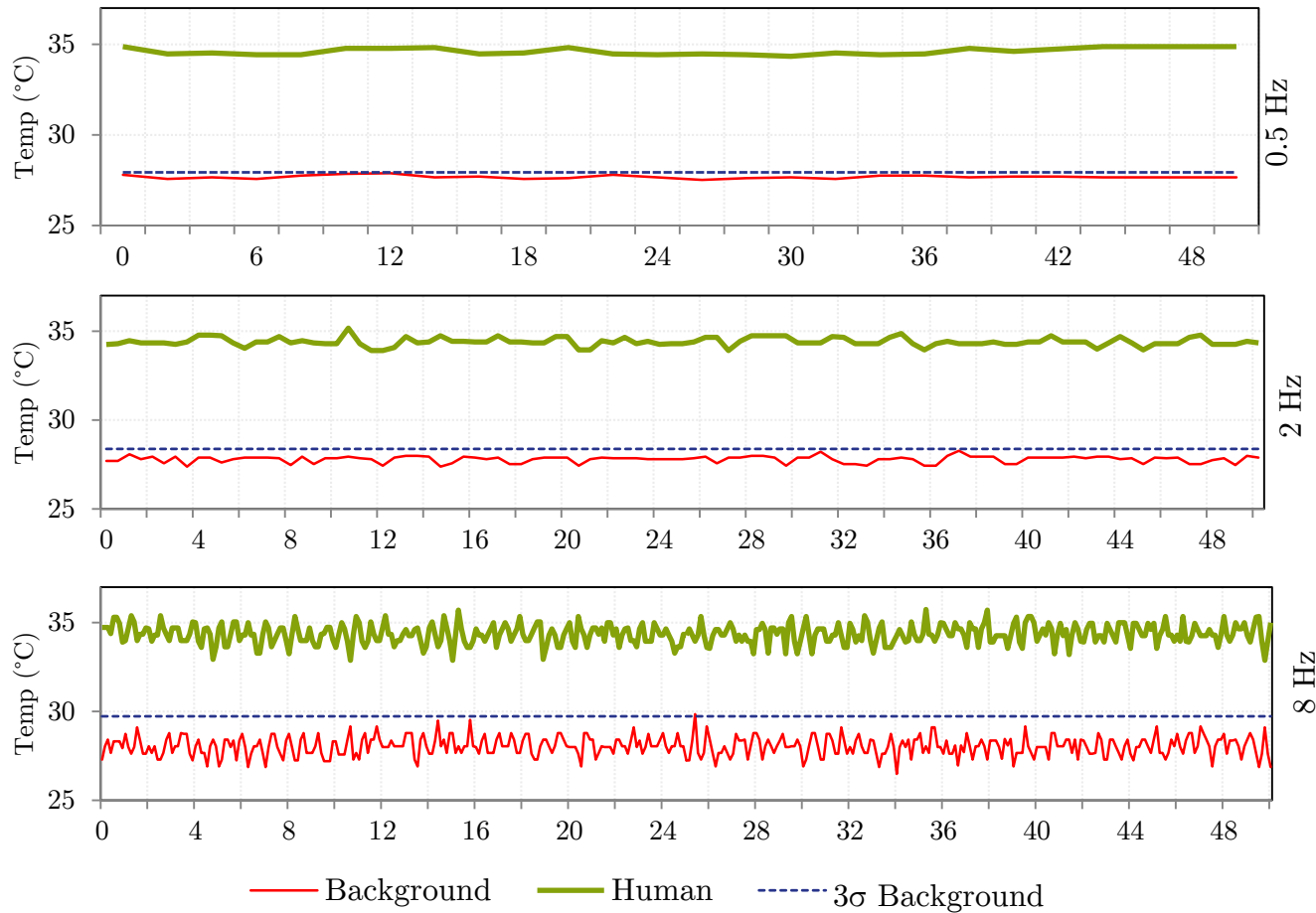
# Sensor Properties

# Sensor Properties – Bias



**Average  
mean values  
over capture  
window**

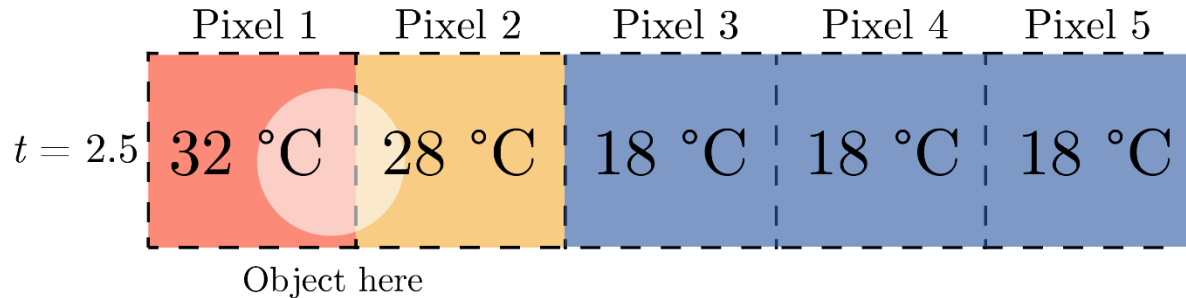
# Sensor Properties – Noise



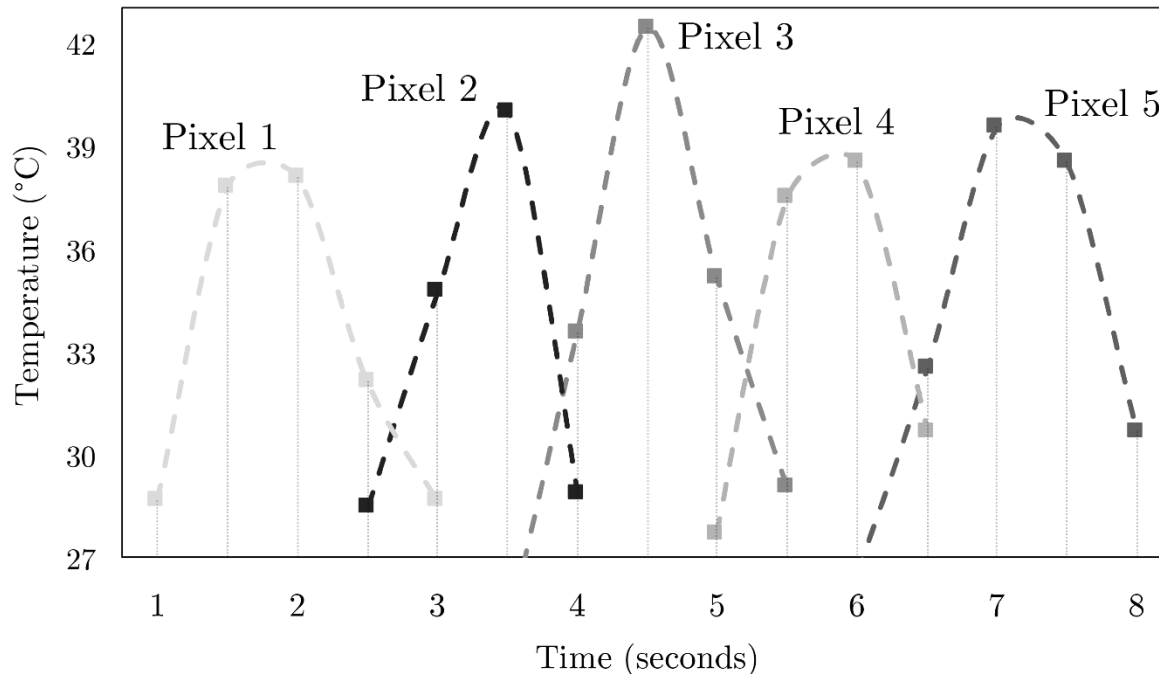
**Graphs of  
noise of  
human pixel  
and  
background  
pixel**



# Sensor Properties – Sensitivity



Hot object moving across pixels at approx. constant velocity



**Hot object  
moving  
across row of  
five pixels**

# Evaluating Sensors

# How do we evaluate sensors?

## 1. Presence

- Is there any occupant present in the sensed area?

# How do we evaluate sensors?

## 2. Count

- How many occupants are there in the sensed area?

## 3. Location

- Where are the occupants in the sensed area?

# How do we evaluate sensors?

## 4. Track

- Where do the occupants move in the sensed area? (local identification)

## 5. Identity

- Who are the occupants in the sensed area?  
(global identification)

# How do we evaluate sensors?

	Requires		Excludes	Irrelevant	
	Presence	Count	Identity	Location	Track
<u>Intrinsic</u>					
<i>Static</i>					
Thermal	✓	✓	✓	✓	
CO <sub>2</sub>	✓	✓	✓		
Video	✓	✓	✗	✓	✓
<i>Dynamic</i>					
Ultrasonic	✓	✓	✗		✓
PIR	✓	✗	✓		
<u>Extrinsic</u>					
<i>Instrumented</i>					
RFID	✓ <sup>1</sup>	✓	✓	✓	
WiFi assoc. <sup>2</sup>	✓ <sup>1</sup>	✓	✗	✓	
WiFi triang. <sup>2</sup>	✓ <sup>1</sup>	✓	✗		
GPS <sup>2</sup>	✓ <sup>1</sup>	✗	✓	✓	
<i>Correlative</i>					
Electricity	✓ <sup>1</sup>	✗	✓		

**Evaluating  
sensors  
against our  
criteria**

<sup>1</sup>Doesn't provide data at required level of accuracy for residential use.

<sup>2</sup>Uses smartphone as detector.



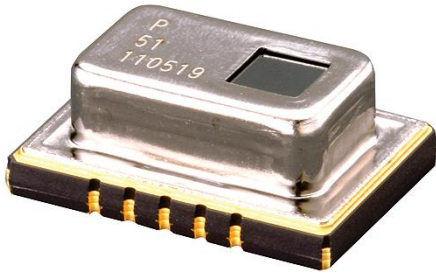
# How do we evaluate sensors?

- We want
  - Presence
  - Count
- We don't want
  - Identity
- We don't care about
  - Location
  - Track

# References

- [TDS14] Teixeira, T., Dublon, G., and Savvides, A. A survey of human-sensing: Methods for detecting presence, count, location, track, and identity. Tech. rep., Embedded Networks and Applications Lab (ENALAB), Yale University, 2010. Retrieved October 6, 2014 from [http://www.eng.yale.edu/enalab/publications/human\\_sensing\\_enalabWIP.pdf](http://www.eng.yale.edu/enalab/publications/human_sensing_enalabWIP.pdf).

# Thermosense Technique



Panasonic Grid-EYE  
8x8 Thermal Array



Passive Infrared  
Sensor (PIR)

**Sensing**



T-Mote Sky

**Pre-Processing**



PC?

**Analysis**

- Overview

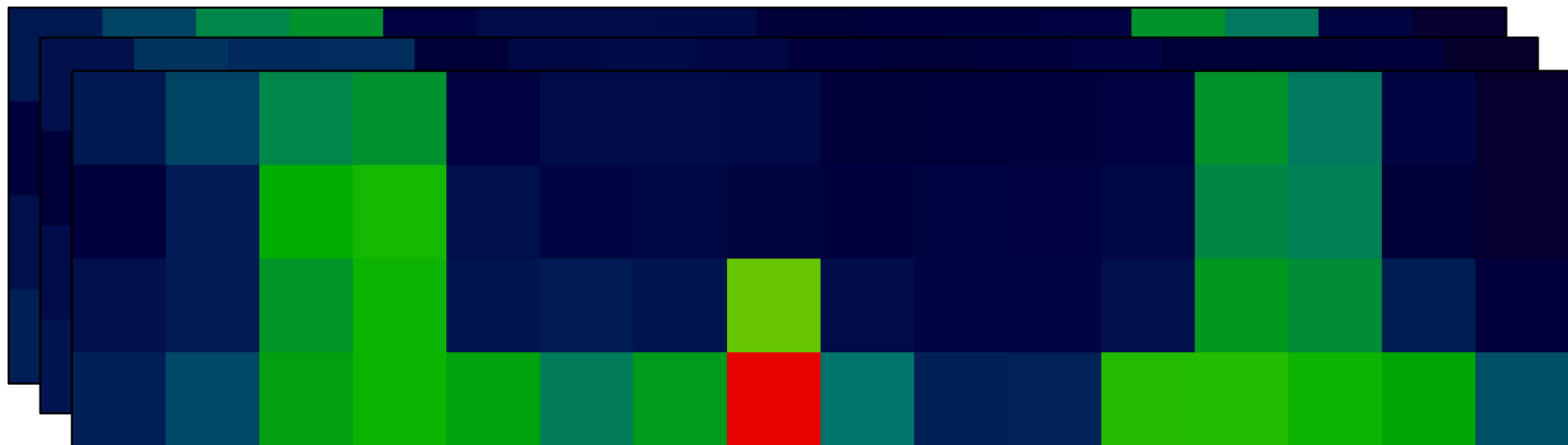
1. Motion detection

2. Image subtraction

3. Machine learning

- Distilling good examples (feature extraction)
- Providing examples with correct answer (training)
- Get out a model that can predict attributes

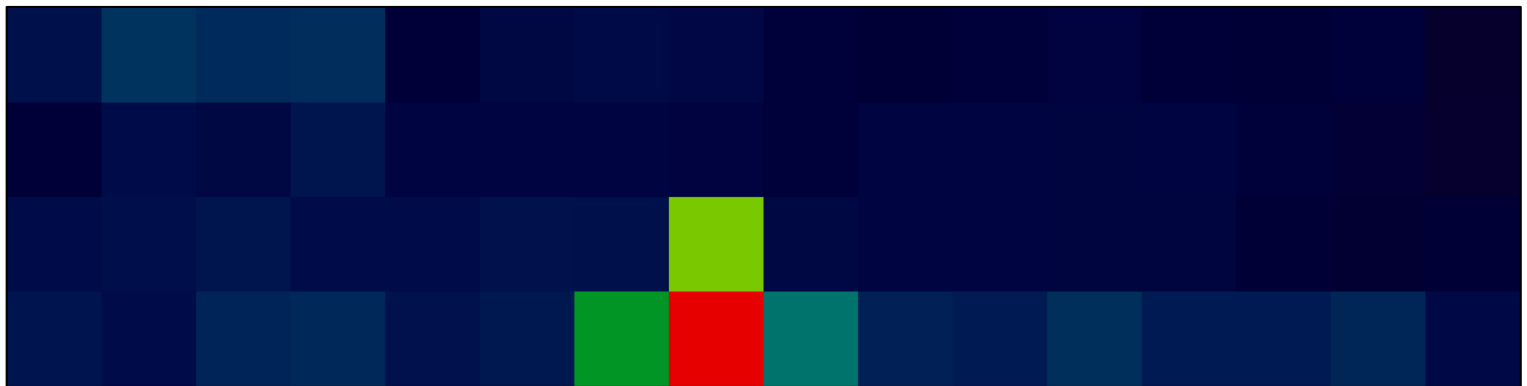
## 1. Capture thermal image sequence



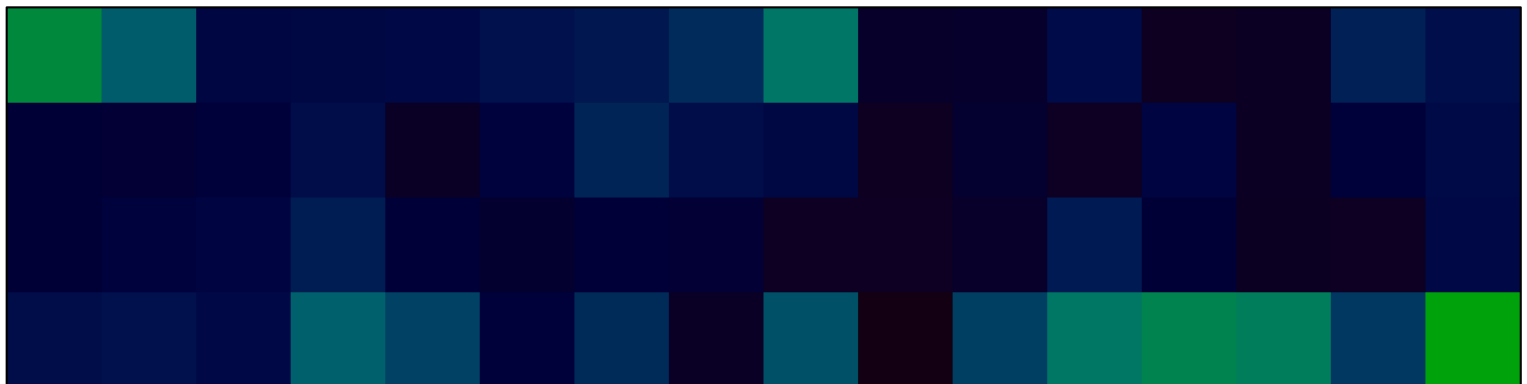
# Technique

2. When no motion (use PIR), update a background map ( $b$ ), standard deviation ( $\sigma$ ) and means using an Exponential Weighted Moving Average

$b =$

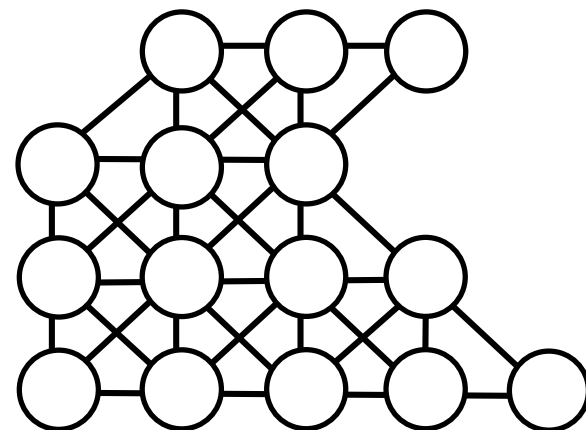
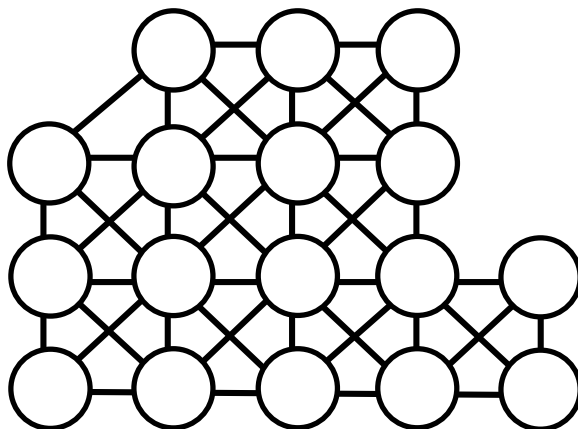
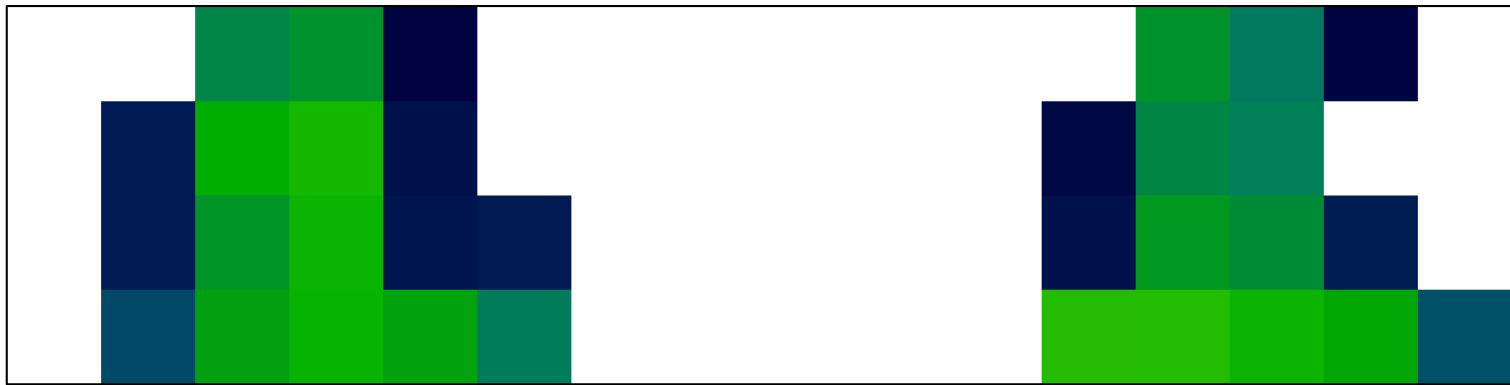


$\sigma =$





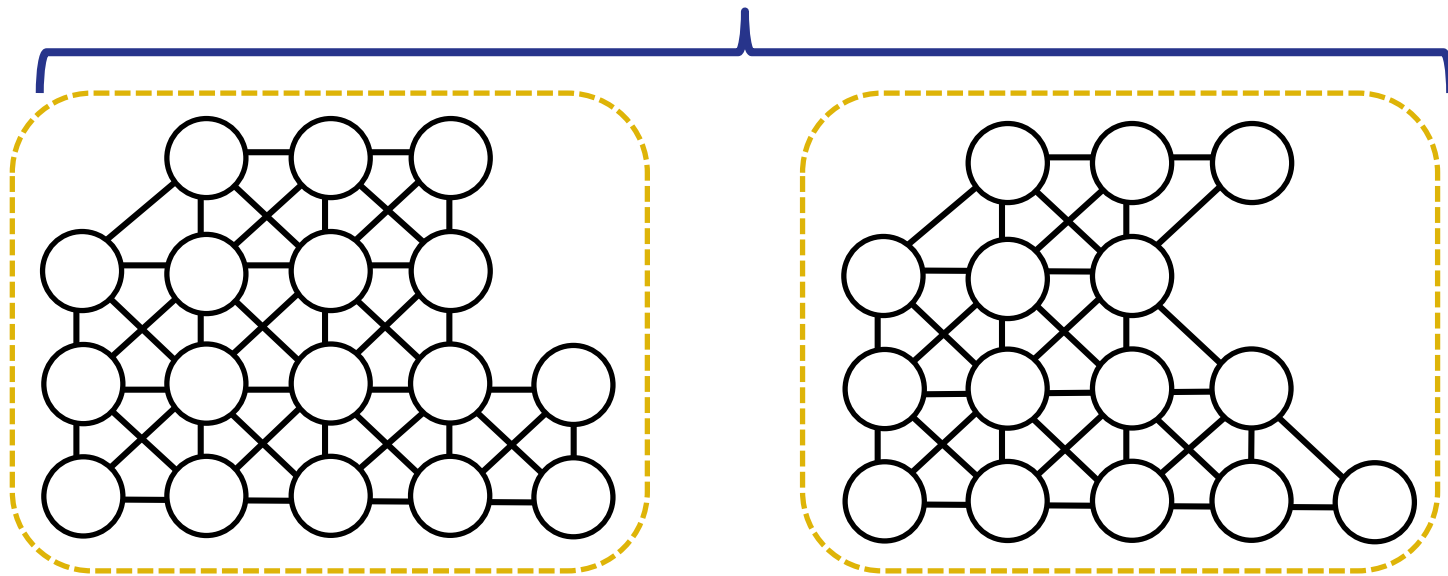
## 4. Generate graph from active pixels





5. Extract features from graph for classification purposes

Number of connected components = 2



Size of largest  
connected component  
= 17

Number of total active pixels = 32

## 6. Perform machine learning

1. Train on examples with true value (features and ground truth)
2. Make predictions with your generated model

Worst – Best

- Thermosense

- RMSE: 0.409 – 0.346

- Correlation: 0.926 – 0.946

- **K\* Numeric**

- RMSE: 0.423 (-0.077)

- Correlation: 0.760 (-0.166)

# Evaluation – Accuracy

Classifier	RMSE	Precision (%)	Correlation ( $r$ )
ThermoSense Actual			
KNN <sup>1</sup>	0.346		
Lin Reg <sup>2</sup>	0.385		0.926
MLP	0.409		0.945
ThermoSense Replication			
KNN (Nom) <sup>1</sup>	0.364	65.65	
MLP	0.592		0.687
Lin Reg <sup>2</sup>	0.525		0.589
KNN (Num) <sup>1</sup>	1.123		0.377
Numeric			
K*	0.423		0.760
0-R	0.651		-0.118
Nominal			
K*	0.304	82.56	
C4.5	0.314	82.39	
MLP	0.362	77.14	
SVM	0.398	67.18	
N. Bayes	0.405	63.59	
0-R	0.442	49.74	

<sup>1</sup>: Includes zero occupant cases in training data

<sup>2</sup>: Excludes number of connected components feature

%: Precision, measuring a nominal test result

$r$ : Correlation coefficient, measuring a numeric test result

## Results

Worst – Best

- Thermosense

- RMSE: 0.409 – 0.346
- Correlation: 0.926 – 0.946

- Three Test Suites

- Replication of their algorithms
- Our numeric algorithm,  $K^*$  (measured with  $r$ )
- Our nominal algorithms (measured with %)

Worst – Best

- Thermosense

- RMSE: 0.409 – 0.346
- Correlation: 0.926 – 0.946

- **Our Replication**

- RMSE: 1.123 – 0.364 (**-0.018**)
- Correlation: 0.377 – 0.687 (**-0.239**)
- Insufficient accuracy

Worst – Best

- Thermosense

- RMSE: 0.409 – 0.346

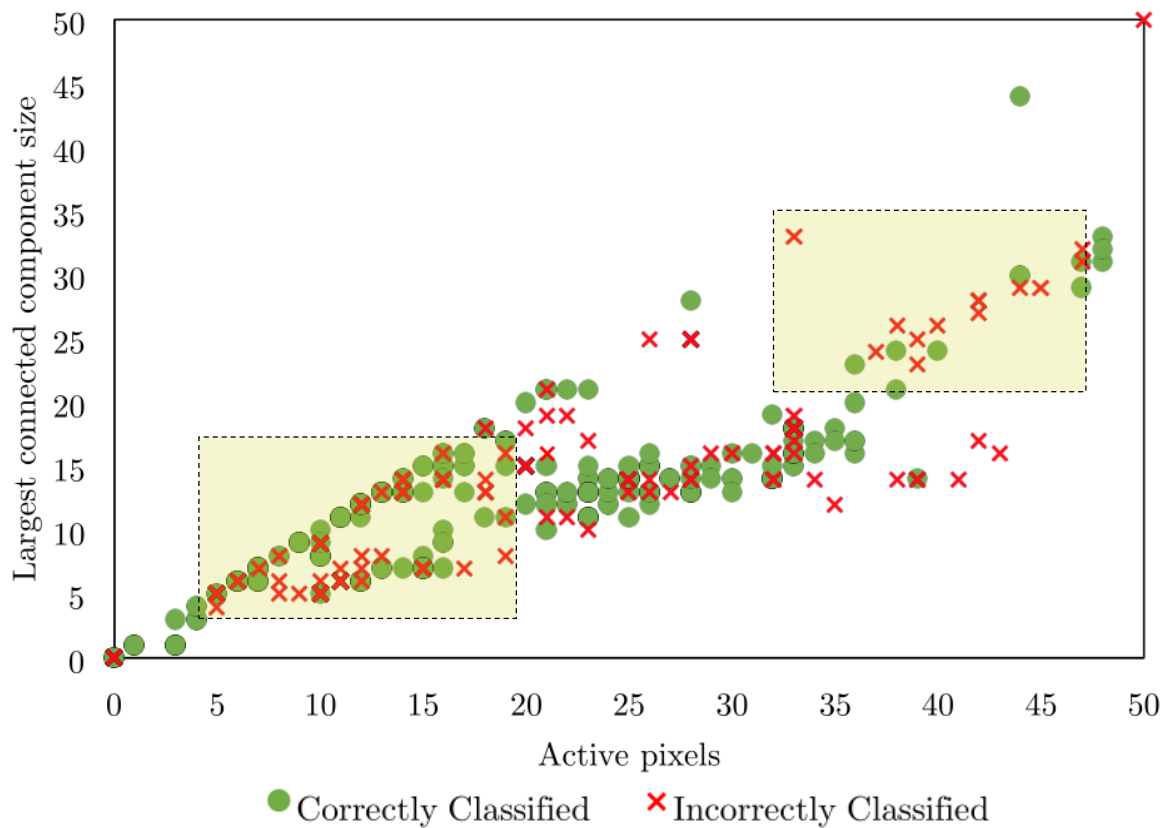
- **Nominal Suite**

- RMSE: 0.304 – 0.405 (**+0.042**)

- Accuracy: 63.59 – 82.56

- Higher end does have sufficient accuracy

# Evaluation – Accuracy



**SVM  
Predictions**

**67% accuracy**



## Different Prototype Designs

Model	Radio	Sleep (mA)	Wake (mA)	Volts (V)	Wake (ms)	Sample (Hz)	Avg (mW)	Life (days)
Existing	✗	34	52	4.9	$\infty$	0.20	255.84	8
Sleep	✗	34	52	4.9	100	0.20	169.05	12
ThermoS.	✓	?	?	3.3	?	0.20	15.91	131
LowPwr A	✓	0.065	23	3.3	300	0.20	4.76	438
LowPwr B	✓	0.065	23	3.3	300	0.01	0.44	4718

- Radio: Does the model use radio transmission?
- Sleep (mA): Milliamp current consumption in sleep state
- Wake (mA): Milliamp current consumption in wake state
- Volts (V): Voltage requirement of model
- Wake (ms): Min. millisecond time model must be awake to sample & transmit once ( $\infty$  = never sleeps)
- Sample (Hz): Freq. that model wakes and performs sample & transmit
- Avg (mW): Avg. milliwatt power given sleep/wake current, voltage, sample and wake time
- Life (days): Est. life of model assuming a perfect 50 watt-hour (Wh) battery